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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1

of 9

Complete if Known

Application Number	101717, 026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2121
Examiner Name	M. HOCMIES
Attorney Docket Number	VM - 57

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2/3} (if known)	MM-DD-YYYY		
MSK		US- 5,224,034 - B1	06-29-1993	Katz, Paul	
MUSAF		US- 5,237,946 - B1	08-17-1993	Kagami, Akari	
MRAJ		US- 5,128,861 - B1	07-07-1992	Kagami, Akari	
MBSJ		US- 5,237,495 - B1	08-17-1993	Morii, Hideki	
MBSA		US- 3,749,892 - B1	07-31-1973	Stenning, Patrick	
MFRAC		US- 5,361,201 - B1	11-01-1994	Jost, Allen et al	
MFSAT		US- 3,933,305 - B1	01-20-1976	Murphy, John Michael	
MFBAT		US- 4,989,141 - B1	01-29-1991	Lyons, Richard	
MFBAT		US- 5,317,504 - B1	05-31-1994	Nakayama, Mamoru	
MFBAT		US- 5,414,621 - B1	05-09-1995	Hough, John	
MFBAT		US- 5,193,055 - B1	03-09-1993	Brown, Gordon	
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MFBAT		US- 6,112,188 - B1	08-29-2000	Harnett, William	
MFBAT		US- 6,134,536 - B1	10-17-2000	Shepherd, Ian	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
MSK		EP 0 587 290 A2	03-16-1994	Powers et al		
MUSAF		GB 2 253 081 A	02-19-1992	Kaisha, Kabushi		

Examiner Signature	<i>Melissa B. Hocmies</i>	Date Considered	4/28/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2

of 9

Complete if Known

Application Number	101217,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2121
Examiner Name	MR. HOCUMES
Attorney Docket Number	VM-57

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2121
Examiner Name	M. HOCMIES
Attorney Docket Number	VM - 57

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NBAH	1	ZIPP, ALAN S.; Business valuation methods; 1993, AICPA	
NBAH	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring	
NBAH	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press	
NBAH	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press	
NBAH	5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company	
NBAH	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press	
NBAH	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert	
NBAH	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
NBAH	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
NBAH	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

Examiner Signature	<i>Jeff S. Eder</i>	Date Considered	4/25/06
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Complaint If Known	
Application Number	101217,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2121
Examiner Name	M. HOMES
Attorney Docket Number	VM - 57

NON PATENT LITERATURE DOCUMENTS

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MBH	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
MBH	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
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MBH	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

Examiner Signature		Date Considered	4/25/06
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Complete If Known

Application Number	10/712,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2121
Examiner Name	MICHAEL HOMES
Attorney Docket Number	VM - 57

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MRB	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio	
MRB	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
MRB	23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;	
MRB	24	MAXSON, MARK; Will you get your money's worth?, 5/1/1993, Financial Executive	
MRB	25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant	
MRB	26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy	
MRB	27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune	
MRB	28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World	
MRB	29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credit	
MRB	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business	

Examiner Signature	B. Adelheit	Date Considered	4/25/06
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Numbr	<i>01217,026</i>
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	<i>2121</i>
Examiner Name	<i>WILLIAM H. HOLMES</i>
Attorney Docket Number	VM - 57

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>WBA</i>	31	HIRSCH, A. ETHAN, What's it worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
<i>WBA</i>	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997;MIT	
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<i>WBA</i>	34	Sveiby, Karl Erik & Mellander, Klas; Tango Learning Guide Version 2.1; 1994; Celemi	
<i>WBA</i>	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
<i>WBA</i>	36	HBS Press; Measuring Corporate Performance; 1998; HBS Press	
<i>WBA</i>	37	KAPLAN, ROBERT & NORTON, DAVID; The Balanced Scorecard; 1996; HBS Press	
<i>WBA</i>	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
<i>WBA</i>	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	
<i>WBA</i>	40	ERNST & YOUNG, Measures that Matter	

Examiner Signature	<i>WILLIAM H. HOLMES</i>	Date Considered	<i>4/25/06</i>
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Application Number	<i>10/217,026</i>
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	<i>2121</i>
Examiner Name	<i>M. HOCMEIS</i>
Attorney Docket Number	VM-57

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<i>MSA</i>	41	BOUQUET, PAOLO, SEARAFINI, LUCIANO, et al; Modeling and Using Context - Context 99, 09/99, Springer	
<i>MSA</i>	42	AKMAN, VAROL, BOUQUET, PAOLO, et al; Modeling and Using Context - Context 2001, 07/01, Springer	
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<i>WBA</i>	51	KLUGE, JURGEN, STEIN, WOLFRAM, LICHT, THOMAS, Knowledge Unplugged, 2001, Palgrave	
<i>WBA</i>	52	CONWAY, SUSAN & SLIGAR, CHAR, Unlocking Knowledge Assets, 2002, Microsoft Press	
<i>WBA</i>	53	MARCUS, ROBERT & WATTERS, BEVERLEY; Collective Knowledge, 2002, Microsoft Press	
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<i>WBA</i>	60	GLASS, GRAHAM, Web Services, Prentice Hall, 2002.	

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<i>MJS</i>	61	CIO INSIGHT, "Special Issue: The Alignment Gap", Ziff Davis Media, No. 15, 2002.	
<i>MJS</i>	62	KUEHNE, SVEN, et al, "SEQL: Category learning as progressive abstraction using structure mapping", 2	
<i>MJS</i>	63	BROWN, JOHN SEELY, et al, "Loosening up: How process networks unlock the power of specialization, 20	
<i>MJS</i>	64	PFEFFER, AVI, "A Bayesian Language for Cumulative Learning", 2000, AAII.	
<i>MJS</i>	65	CLARK, PETER, et al, "Knowledge entry as graphical assembly of components", 2001, K-Cap 01	
<i>MJS</i>	66	BLYTHE, JIM, "An Integrated Environment for Knowledge Acquisition", 2001, Intelligent User Interface	
<i>MJS</i>	67	QUINN, JAMES BRIAN, Intelligent Enterprise, Free Press, 1992	
<i>MJS</i>	68	FOWLER, MARTIN; Analysis Patterns: Reusable Object Models, 1997, Addison Wesley	

Examiner Signature

Date Considered

4/25/06

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

M/S
Sheet **10**of **10****Complete If Known**

Application Number	10/717,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	3621
Examiner Name	<i>Jeff S. Eder</i>
Attorney Docket Number	VM-57

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (<i>if known</i>)			
<i>MEH</i>		US- 6,078,901 - B1	6-20-2000	Ching, Hugh	
<i>MEH</i>		US- 5,644,727 - B1	07-01-1997	Atkins, Charles Agee	
<i>MEH</i>		US- 5,704,055 - B1	12-30-1997	George et al	
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<i>MEH</i>		US- 6,278,981 - B1	08-21-2001	Dembo et al	
<i>MEH</i>		US- 5,191,522 - B1	03-02-1993	Bosco et al	
<i>MEH</i>		US- 6,064,972 - B1	05-16-2000	Jankowitz et al	
<i>MEH</i>		US- 6,263,314 - B1	07-17-2001	Donner, Irah H.	
<i>MEH</i>		US- 6,092,056 - B1	07-18-2000	Tull Jr. et al.	
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<i>MEH</i>		US- 6,732,095 - B1	05-04-2004	Warshavsky et al.	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)				

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SignatureDate
Considered*4/25/06*

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Complete if Known

Application Number	10/717,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2102
Examiner Name	M. ROMFES
Attorney Docket Number	VM-57

~~M~~ Sheet ~~2~~ 2 of ~~2~~ 2

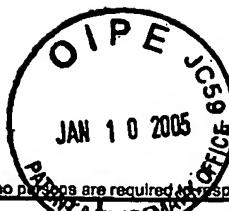
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**INFORMATION DISCLOSURE
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of

Application Number	10/717,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2402 2121
Examiner Name	dk, HOCMJE
Attorney Docket Number	VM - 57

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
NBAH	KOLLER, TIMOTHY, "What is value based management", McKinsey Quarterly, 1994, Number 3		
NBAH	BROWN, CAROL; COAKLEY, JAMES; PHILLIPS, MARY ELLEN, "Neural Networks Enter World of Mgmt Accounting,		
NBAH	BIELINSKI, DANIEL, "How to sort out the premium drivers of post deal value", Mergers & Acquisitions,		
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NBAH	HAROLD, ELLIOTTE, XML Bible, IDG Books, 1999		
NBAH	KNIGHT, JAMES, Value Based Management, McGraw Hill, 1998		
NBAH	NeuroSolutions, Application Summaries		
NBAH	COPELAND, TOM, KOLLER, TIM, MURRIN, JACK, Valuation, John Wiley and Sons, 1994		
NBAH	BROWN, CAROLYN, PHILLIPS, MARY ELLEN, Expert Systems for Management Accounting Tasks, IMA Foundation		
NBAH			

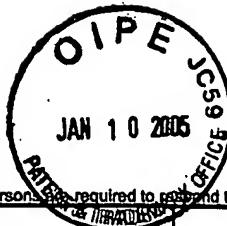
Examiner Signature	MURRIN, JACK	Date Considered	9/25/06
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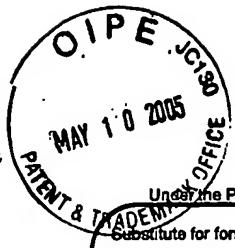
Substitute for form 1449/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			
Sheet	of	Application Number	10/717,026
		Filing Date	11/19/2003
		First Named Inventor	Jeff S. Eder
		Art Unit	2402 2121
		Examiner Name	M. KOCHELES
		Attorney Docket Number	VM - 57

Examiner Signature **Date Considered** 4/25/96

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(Use as many sheets as necessary)			
Sheet		of	
Complete If Known			
Application Number		10/717,026	
Filing Date		11/19/2003	
First Named Inventor		Jeff S. Eder	
Art Unit		2402 2121	
Examiner Name		Michael Holmes	
Attorney Docket Number		VM - 57	

NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Date
Considered

4/25/06

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

Complete if Known	
Application Number	10/717,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2102- 2121
Examiner Name	Michael Holmes
Attorney Docket Number	VM - 57

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FOREIGN PATENT DOCUMENTS

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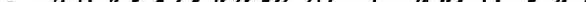
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
Sheet	of			Attorney Docket Number	VM - 57
				Application Number	10/717,026
				Filing Date	11/19/2003
				First Named Inventor	Jeff S. Eder
				Art Unit	2102- 2121
				Examiner Name	Michael Holmes

NON PATENT LITERATURE DOCUMENTS

Examiner Signature  Date Considered 4/28/06

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/717,026
		Filing Date	11/19/2003
		First Named Inventor	Jeff S. Eder
		Art Unit	2462 2121
		Examiner Name	Michael Holmes
Sheet	of	Attorney Docket Number	
		VM - 57	

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Signature

Date Considered

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				Application Number	10/717,028
				Filing Date	11/19/2003
				First Named Inventor	Jeff S. Eder
				Art Unit	2402-2121
				Examiner Name	Michael Holmes
Sheet		of		Attorney Docket Number	AR - 57

NON-PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

**Date
Considered**

4/28/06

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(See all other sheets as necessary)

Complete If Known	
Application Number	10/717,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2102 2/21
Examiner Name	Michael Holmes
Attorney Docket Number	AR - 57

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FTO/SB/080 (08-03)

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Substitute for form 1410/PTO				Complete If Known
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				
(Use as many sheets as necessary)				
Application Number	10/717,026			
Filing Date	11/19/2003			
First Named Inventor	Jeff S. Eder			
Art Unit	2402- 2121			
Examiner Name	Michael Holmes			
Sheet	of		Attorney Docket Number	AR - 57

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Examiner Signature **Date Considered**

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of

Application Number

10/717,026

Filing Date

11/19/2003

First Named Inventor

Jeff S. Eder

Art Unit

2102- 2121

Examiner Name

Michael Holmes

Attorney Docket Number

AR - 57

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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MBH		Chicago Board of Trade, "SPAN Margin System", 8/27/1991	
MBH		Chicago Mercantile Exchange, "SPAN - Frequently Asked Questions", CME web site, 2/17/2008	

Examiner Signature		Date Considered	4/25/06
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**INFORMATION DISCLOSURE
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of

Complete If Known

Application Number	10/717,026
Filing Date	11/19/2003
First Named Inventor	Jeff S. Eder
Art Unit	2102 2121
Examiner Name	Michael Holmes
Attorney Docket Number	AR - 57

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<i>MH</i>		Culp, Christopher et al, "Value at Risk for Asset Managers", Derivatives Quarterly, Vol 5, 01/8/99	
<i>MH</i>		MAIER, DAVID, "Database Desiderata for an XML Query Language"; W3.org, 1998	
<i>MH</i>		WIDOM, JENNIFER, "Data Management for XML, Research Directions", IEEE, July 1999	
<i>MH</i>		W3C, "Extensible Markup Language (XML)", W3C web site, 4/14/2005	
<i>MH</i>		GOLDFARB, CHARLES; & PRESCOD, PAUL; XML Handbook; Prentice Hall, 1998	

Examiner Signature	<i>MICHAEL H. BENNETT</i>	Date Considered	4/25/06
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